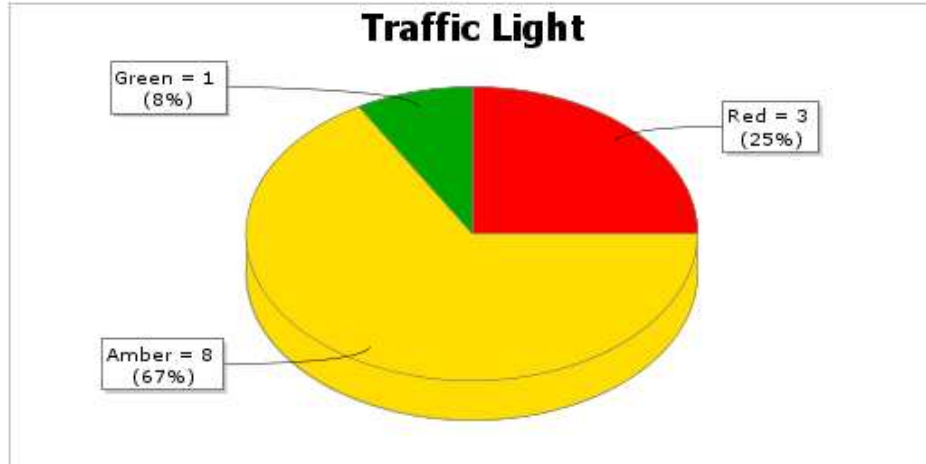









Corporate & Strategic Risk Register 2013-14 - Quarter 1






Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Latest Note	Mitigating Actions	Managed By
13-CR 01 Insufficient progress against savings	The council does not make sufficient progress against savings targets identified in the MTFS to achieve the necessary savings.	4	1	4	1	4		4	1	Budget savings are on track. However the current budget forecasts would enable the implementation to be phased over a longer period should that be necessary	A Corporate Team was established in 2010. Savings achieved to date have been approx £1 million per annum	Adrian Webb
13-CR 02 External factors impact	External factors, such as the reforms to local	3	3	3	3	9		2	3	The 2013/14 settlement combined a cut in core funding with	Work with local government partners to	Stephen Joyce





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negatively on Council's finances	government finance, negatively impact on Council's finances									an increase in New Homes Bonus, and a net increase overall. This will help ensure stability in the short to medium term, but the longer term position will be challenging. Major reform is implemented in 2013/14, the results of this may be variable. Recent indications are that large funding reductions will take effect from 2015/16 so the risk score reverts to the original assessment.	share the risks and benefits of reform	
13-CR 03 Key partners unable to support LSP	Key partners are unable to support to the LSP because of altered priorities elsewhere in the public sector. The concept of 'Localism' may be difficult to communicate	2	3	2	3	6		2	2	New groups bedding in well. Integration with rest of Council remains a work in progress	Optimise the Localism agenda and ensure that the Council retains its commitment to supporting the voluntary sector where this provides demonstrable value for money. Ensure continued engagement with partners and the community through channels such as Citizens Panel,	John Mitchell

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											Community Forums and Tenant Forum. Continue to review the working of the LSP to ensure it meets the needs of the council, its partners and the community.	
13-CR 04 Affordable Housing	Failure to find a balance between the delivery of affordable housing, in order to meet the need for accommodation, and sourcing sites acceptable to the community	3	3	3	3	9		3	2	Transport assessment work and update of the housing market assessment on going	Adherence to the Local Plan timetable coupled with consistent communication and community engagement. Strong political leadership to ensure adoption of Local Development Framework. Commitment to build local authority housing	Roger Harborough
13-CR 05 Instability of volume of recyclables	Instability of volume of recyclables and gate fees for compostable material impacts on net cost of waste and recycling service	3	3	3	2	6		2	2	Dry recyclables volume is stable. Garden waste is subject to variation and work continues as per mitigating actions.	Promotion of re-use and recycling. Work with other collection authorities and Essex CC through IAA Steering Group	Roger Harborough

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13-CR 06 Potential increase in environmental crime	Potential for more cases of environmental crime leading to increased pressures on enforcement and other service areas and possible complaints	3	2	3	2	6		2	2	A meeting between UDC and Epping Forest DC has taken place. Epping Forest have provided guidance on the issuing of FPN's together with copies of documentation and policies	Training for Enforcement officers and closer working with PCSO's leading to an increase in the number of fixed penalty notices issued	Michael Perry
13-CR 07 Failure to embed sound Equality & Diversity, H&S & Corporate Governance principles	Failure to embed sound equality & diversity, health & safety and corporate governance principles throughout the authority, which would make it difficult to then promote these ideals to the community	3	2	3	2	6		3	1	Training on diversity given to all staff and offered to all members	Necessary information available to all staff and regular training given	John Mitchell
13-CR 08 Little money available for Highways improvements	Little money available for Highways improvements due to pressures on County Council budget	3	3	2	3	6		2	3	Highways Panel has allocated £165k of the £407k available for 2013/14	Targeted improvements in district due to local member involvement in Highways Panel/Locality Board	Roger Harborough
13-CR 09 Inability to implement the economic strategy	Inability to implement the economic strategy which could lead to a failure to support existing	3	2	3	2	6		3	1	Action plan being progressed	Implement the economic strategy in conjunction with local business representatives, West Essex	Roger Harborough

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	businesses and attract new investment										partners and allocate budget to support this work	
13-CR 10 Adverse impact from reform of council tax benefits	The reform of council tax benefits will adversely impact some people currently in receipt of benefits	3	2	3	2	6		2	2	The UDC LCTS scheme adopts DCLG Transition principles and therefore impact on the claimant is less severe	Resource and implement the Council's Local Council Tax Support Policy approved 2012	Stephen Joyce
13-SR 01 Disruption of Council business	Disruption of council business caused by: loss of building, widespread staff absence, extreme weather conditions	4	2	4	2	8		3	2	Business Continuity activation plan for Directors and senior managers now in place and understood. Departmental plans for the most are up to date and held by the relevant line managers. Not all departments have individual plans and this is to be addressed in the coming months by a series of meetings with line managers and the EPO.	Ensure that emergency plans are in place to provide frontline services. Maintain regular engagement in emergency planning activities, close liaison with county council and regular communication with residents. Ensure relevant HR policies are in place and understood	Michael Perry
13-SR 02 Major emergency at the airport	Major emergency at the airport e.g. due to plane crash, terrorism etc.	2	1	2	1	2		2	1	All plans are up to date and regularly exercised. Regular emergency planning meetings are attended by the	Ensure that emergency plans are in place and that there is regular liaison	Michael Perry

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										EPO at the airport with all agencies involved. E.g. Airport managements, fire service, business continuity & risk manager, airline reps, Essex county officers, Essex police and East of England ambulance	with airport operator and engagement in emergency planning activities	

Risk Status	
	Alert
	High Risk
	Warning
	OK